

Hearsay Compliance Advisory Services

Delivering compliance insights, analytics, and training

Properly managing compliance risk requires regular assessment of the compliance strategy, tuning of policies & procedures, and technology

- Hearsay's Compliance Practice's mission is to help our customers more effectively and efficiently manage compliance risk while balancing the advisor experience
- Hearsay's Compliance Practice has designed a package of services to be delivered regularly throughout the year to ensure your Compliance program has the most up to date tools and resources to manage risk
- These services include: Lexicon Analysis and Supervision Dashboards, Compliance Audits, Custom Looker Reports, Dashboard Configurations, and On-Sites with our Compliance Practice



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[Request a Consultation](#)

Social Media Policy Review

Assess social media policy(-ies), by network and line of business to facilitate new Compliance program deployment

E-Comms Policy Assessment

If expanding your program, Hearsay provides use case and protocol for setup

Privacy Evaluation

GDPR & CCPA compliance review to evaluate current policies against regulatory obligations and industry best practices

Compliance Scorecard

Using Hearsay's proprietary Maturity Model, benchmark your compliance program to industry peers and/or regulatory guidelines

Lexicon Analysis

Hearsay will review your alert volume and partner with your admins to align on a data set and time period for our analytical review

Supervision Configuration

A preconfigured Supervision dashboard that aligns to your organization's current needs and objectives

Supervision Training

Custom Webinar Training focusing on Hearsay Compliance and Supervision product functionality and features

Compliance Audit

Review of your Hearsay compliance configuration and usage of Compliance and Supervision features

Profile Automation

Systematic updating of user profile fields at scale across social networks and sites via API

Custom

Leverage our Compliance expertise to streamline your social engagement program